# Lecture 6 Space charge impedance. Smooth transitions. Diffraction impedance.

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#### Lecture outline

- Model wakes: resistive, inductive, and capacitive
- Indirect space charge force
- Longitudinal space charge
- Yokoya's formulas for a smooth collimator
- Diffraction model for the impedance

### Resistive wake

There are several general models of the *longitudinal* wake. Sometimes it is convenient to use model wakes that approximate some part of reality. These wake functions are singular, and the Kramers-Kronig relations may not hold for them. For each wake, we plot the bunch wake (4.2),  $W_{\ell}(s)$ , for a Gaussian bunch distribution (shown by the dashed line).



Do not confuse this wake with the *resistive wall* wake. Note<sup>20</sup>.

 $<sup>^{20}</sup>$  In order to not violate the causality, the delta function is assumed to be slightly shifted to positive s, i.e.,  $\delta(s - \epsilon)$  with  $\epsilon \rightarrow 0$ .

### Inductive wake

2. The *inductive wake*, *L* is the inductance,

$$w_{\ell}(s) = Lc^{2}\delta'(s) \qquad (6.2)$$
$$Z_{\ell} = -i\omega L$$
$$W_{\ell}(s) = -Lc^{2}\lambda'(s)$$

Typically L > 0. The bunch head at positive *s*. No average energy loss.



This type of wake is often a good approximation for long bunches propagating in a vacuum chamber with large conductivity, when the beam energy losses can be neglected (see below). The inductive impedance often approximates the limit of small frequencies  $\omega$ .

## Capacitive wake

3. The *capacitive wake*: h(s) is the step function, C is the capacitance,

$$w_{\ell}(s) = Ch(s) \qquad (6.3)$$
$$Z_{\ell} = -\frac{C}{i\omega}$$
$$W(s) = C \int_{s}^{\infty} ds' \lambda(s')$$

The bunch head is at positive s.



We calculated the transverse fields and the transverse force inside a relativistic beam with uniform charge in L2, Eq. (2.13). We assumed the beam in free space, however, the same result is valid if the beam is inside a round pipe (we only used the symmetry of the problem in the derivation). This force is called the *direct space charge force*. It scales as  $\gamma^{-2}$  and becomes small for relativistic beams.

The situation is different if the vacuum chamber is not round. It turns out that the *averaged over time* beam current,  $\langle I \rangle$ , has a contribution to the transverse force that does not depend on  $\gamma$ . Typically  $\langle I \rangle \ll I_{\text{peak}}$ , but in the limit  $\gamma \gg$  this field can be important. It is often called the *indirect space charge*.

We consider here an approximation of two parallel plates. We will also assume that the beam current does not depend on time, and hence the magnetic field penetrates through the wall.

#### Transverse space charge effects



Consider a beam of radius *a* with  $\lambda$  is the number of particles per unit length ( $\lambda$  is assumed constant). The beam is located at the center line. Consider a particle that has offset *y*. In addition to the electric field (2.12) there is electric field from the image charges acting on the particle, see the figure.

$$E_y = \frac{e\lambda}{2\pi\epsilon_0} \sum_{n=1}^{\infty} (-1)^n \left[ \frac{1}{2nh+y} - \frac{1}{2nh-y} \right]$$
$$= -\frac{e\lambda}{\pi\epsilon_0} y \sum_{n=1}^{\infty} (-1)^n \frac{1}{(2nh)^2 - y^2}$$
(6.4)

## Effect of conducting and magnetic screens

We are interested in the limit  $y \ll h$ , and can neglect  $y^2$  under the sum. The result is  $\left(\sum_{n=1}^{\infty} (-1)^n \frac{1}{n^2} = -\pi^2/12\right)$ 

$$E_y = \frac{\pi}{48} \frac{e\lambda}{\epsilon_0 h^2} y \tag{6.5}$$

From the divergence equation  $\partial E_x/\partial x + \partial E_y/\partial y = 0$  one can find electric field  $E_x$  near the axis:

$$E_x = -\frac{\pi}{48} \frac{e\lambda}{\epsilon_0 h^2} x \tag{6.6}$$

Multiplied by the charge, these terms add to the self-force Eq. (2.13). Note that in contranst to Eq. (2.13) there is no  $\gamma^{-2}$  suppression in the indirect force.

There is no contribution from magnetic images, unless there are high  $\mu$  material outside of the pipe.

#### Longitudinal space charge effects

We now calculate the longitudinal field  $E_z$  of a beam in a round, perfectly conducting pipe (in L2 we estimated the longitudinal field in free space, Eq. (2.15)). Consider a beam of charge Q that has the density distribution n(r, z) in the form

$$n(r,z) = v(r)\lambda(z)$$

We assume that v(r) is normalized by  $2\pi \int_0^b r v(r) dr = 1$ , where *b* is the pipe radius; then  $\lambda$  is the number of particles per unit length. We go into *the beam frame*. The beam density there is (r' = r)

$$n'(r,z') = \nu'(r)\lambda'(z')$$
(6.7)

We then solve the equation for the potential  $\phi'(r, z')$  in the beam frame

$$\Delta \phi' = -\frac{Q}{\epsilon_0} n'$$

We assume a *long wavelength* perturbation, much longer than the pipe radius *b*, and neglect the second derivative  $\partial^2 \phi' / \partial z'^2$  in the Laplacian,

$$\frac{1}{r}\frac{\partial}{\partial r}r\frac{\partial \Phi'}{\partial r} = \frac{Q}{\epsilon_0}\nu'(r)\lambda'(z')$$
(6.8)

It is clear that  $\phi' \propto \lambda'(z')$ . We solve the radial part of the equation using the method of Green functions.

#### Longitudinal space charge effects

The Green function for the last equation is the solution of

$$\frac{1}{r}\frac{\partial}{\partial r}r\frac{\partial G(r,\xi)}{\partial r} = \delta(r-\xi), \qquad (6.9)$$

with the boundary condition  $G(b,\xi) = 0$  and  $G(0,\xi)$  finite. The solution is

$$G(r,\xi) = \begin{cases} \xi \ln \frac{\xi}{b} & \text{if } r < \xi \\ \xi \ln \frac{r}{b} & \text{if } r > \xi \end{cases}$$
(6.10)

With this Green's function we find the field

$$\Phi'(r,z') = -\frac{Q}{\epsilon_0}\lambda'(z')\left(\int_0^r \xi\,d\xi\,\nu'(\xi)\ln\frac{r}{b} + \int_r^b \xi\,d\xi\,\nu'(\xi)\ln\frac{\xi}{b}\right) (6.11)$$

#### Space charge in a perfectly conducting round pipe

The electric field in the beam frame is

$$E'_{z} = -\frac{\partial \Phi'}{\partial z'} \tag{6.12}$$

We now need to transform this into the lab frame. We have  $E_z = E'_z$ ,  $\nu(r) = \nu'(r)$  and  $z' = \gamma z$ , so that  $\lambda'(z') = \lambda(z'/\gamma)/\gamma$ 

$$\frac{\partial \lambda'}{\partial z'} = \frac{1}{\gamma} \frac{\partial \lambda}{\partial z'} = \frac{1}{\gamma^2} \frac{\partial \lambda}{\partial z}$$
(6.13)

SO

$$E_{z}(r,z) = \frac{Q}{\epsilon_{0}\gamma^{2}} \frac{d\lambda(z)}{dz} \left( \int_{0}^{r} \xi \, d\xi \, \nu(\xi) \ln \frac{r}{b} + \int_{r}^{b} \xi \, d\xi \, \nu(\xi) \ln \frac{\xi}{b} \right) \quad (6.14)$$

For a beam of radius *a* with uniform radial distribution in the region r < a,  $v = 1/\pi a^2$ , the expression in the bracket is

$$-\frac{1}{2\pi} \left( \ln \frac{b}{a} + \frac{1}{2} - \frac{r^2}{2a^2} \right) \equiv -\frac{1}{2\pi} \Lambda$$
 (6.15)

## Space charge in a perfectly conducting round pipe

We have

$$E_{z}(r,z) = -\frac{Q}{2\pi\epsilon_{0}\gamma^{2}}\Lambda\frac{d\lambda(z)}{dz}$$
(6.16)

Strictly speaking,  $E_z$  depends on r, but this dependence is weak, and often is neglected setting r = 0, then

$$\Lambda = \ln(b/a) + 1/2$$

Comparing with Eqs. (6.2) we see<sup>21</sup> that the wake function (per unit length) is the derivative of the delta function

$$w_{\ell}(s) = -rac{1}{2\pi\epsilon_0\gamma^2}\Lambdarac{d\delta(s)}{ds}$$

This is not our standard wake—it depends on the transverse beam size and its energy.

Instead of setting r = 0, it makes more sense though to integrate over the cross section of the beam.

<sup>21</sup>We use the relation  $W_{\ell} = -E_z/Q$  with  $W_{\ell}$  the bunch wake per unit length.

#### Space charge in a perfectly conducting round pipe

The space charge impedance (per unit length) is

$$Z_{\ell} = i\omega \frac{1}{2\pi\epsilon_0 \gamma^2 c^2} \Lambda = iZ_0 \Lambda \frac{\omega}{2\pi c \gamma^2}$$

which is the inductive impedance with *negative* inductance. Recall that we assumed long bunch length in the beam frame. This means

$$\sigma_z \gg \frac{b}{\gamma}$$

For the impedance, this condition translates into the requirement

$$\frac{\omega}{c} \ll \frac{\gamma}{b}$$

## Yokoya's formulas for wake of a smooth transition

To remove halo particles from the beam one often uses collimators. To lower the collimator impedance one can try to taper the collimator jaws to get a gradual transition from a large to a small aperture and back.



Another example is transitions to and from undulators (that have small gaps) in light sources.

#### Practical examples of tapers and collimators

Taper to an undulator (from NSLS-II CDR).





An LHC collimator.

## Yokoya's formulas for wake of a smooth transition

The impedance of a smooth *round* tapered transition was calculated by K. Yokoya<sup>22</sup> in the limit of low frequencies.

$$Z_{\ell}(\omega) = -\frac{i\omega Z_0}{4\pi c} \int_{-\infty}^{\infty} dz (a')^2$$
(6.17)

and the transverse impedance

$$Z_t(\omega) = -\frac{iZ_0}{2\pi} \int_{-\infty}^{\infty} dz \left(\frac{a'}{a}\right)^2$$
(6.18)

where a(z) is the pipe radius as a function of z, and the prime denotes the derivative with respect to z, a' = da/dz. These formulas assume that the taper angle is small,  $\alpha \equiv |a'(z)| \ll 1$ .

<sup>&</sup>lt;sup>22</sup> K. Yokoya, "Impedance of Slowly Tapered Structures," Preprint CERN SL/90-88 (AP) (1990)

## Yokoya's formulas for wake of a smooth transition

Another condition of applicability of Yokoya's formula is

#### $\alpha$ ka $\ll$ A,

 $k = \omega/c$ , and A is a numerical factor of order of unity. For a bunch of length  $\sigma_z$ , the characteristic value of k in the beam spectrum is equal to  $\sigma_z^{-1}$ . Applying Eq. (6.17) to a conical transition with the conical angle  $\alpha$  that connects two pipes of radii  $a_1$  and  $a_2$  ( $a_2 > a_1$ ) gives the following result:

$$Z_t = -\frac{iZ_0}{\pi a_{\rm av}} \frac{\epsilon \tan \alpha}{1 - \epsilon^2} = -\frac{iZ_0}{2\pi} \frac{(a_2 - a_1)^2}{a_1 a_2} L$$

where  $a_{av} = (a_1 + a_2)/2$  and  $\epsilon = (a_2 - a_1)/(a_2 + a_1)$  and *L* is the length of the transition. More results on this subject can be found in the review paper<sup>23</sup>.

Small-angle collimators are not easy to simulate in computer programs, because some codes represent a smooth pipe wall by a sequence of small steps in r.

<sup>&</sup>lt;sup>23</sup>G. Stupakov, PAC09, page 4270, Vancouver, Canada, 2009.

## Fresnel diffraction (from A. Chao's book)

Using the connection between the energy loss and impedance we can estimate impedance of a so called *pillbox cavity* at high frequencies.



We want to apply the idea of diffraction to the field of an ultrarelativistic beam — the *diffraction model*. It works in the limit of *high frequencies* or, equivalently, short bunches.

## Diffraction impedance of a round pill-box cavity

Assume axisymmetric geometry, perfectly conducting walls.



Consider a Gaussian beam that is modulated with the wavenumber  $k = \omega_0/c$ ,

$$\tilde{\lambda}(z) = \frac{1}{\sqrt{2\pi}\sigma_z} e^{-z^2/2\sigma_z^2} \cos\left(\frac{\omega_0}{c}z\right)$$

Here  $\tilde{\lambda}$  is the modulated fraction of the beam, with  $\sigma_z \gg c/\omega_0$ .

The field on the wall before the cavity is given by Eq. (2.10)

$$E_{
ho} = cB_{
ho} = rac{1}{4\pi\epsilon_0}rac{2Q ilde{\lambda}(z)}{a}$$

The width of the diffraction area is  $\sim \sqrt{g\lambda} = \sqrt{gc/\omega}$  (we use  $\lambda = \lambda/2\pi = 1/k$ ). Let us estimate the energy loss of the beam  $(\tilde{\lambda} \sim 1/\sigma_z)$ :

$$\Delta \mathcal{E} \sim \frac{\epsilon_0 E^2 + \mu_0 H^2}{2} \times 2\pi a \times \sqrt{g \frac{c}{\omega_0}} \times \sigma_z \sim \epsilon_0 \left(\frac{Q}{\epsilon_0 (2\pi)^{3/2} a \sigma_z}\right)^2 2\pi a \sqrt{g \frac{c}{\omega_0}} \sigma_z$$

#### Diffraction impedance of pill-box cavity

This energy loss is related to the real part of impedance through Eq. (4.14)

$$\Delta \mathcal{E} = -\frac{Q^2}{\pi} \int_0^\infty d\omega \operatorname{Re} Z_{\ell}(\omega) |\hat{\tilde{\lambda}}(\omega)|^2$$

We need to calculate  $\widetilde{\lambda}(\omega)$ 

$$\hat{\tilde{\lambda}}(\omega) = \frac{1}{2} \left( e^{(\omega - \omega_0)^2 \sigma_z^2/2c^2} + e^{(\omega + \omega_0)^2 \sigma_z^2/2c^2} \right)$$

Only a narrow peak around  $\omega = \omega_0$  contributes to the integral

$$\Delta \mathcal{E} \approx -\frac{cQ^2}{\sqrt{2\pi}\sigma_z} \text{Re}\, Z_\ell(\omega_0)$$

Equating this to the previous expression for  $\Delta \mathcal{E}$  we can find the real part of the impedance,

$$\operatorname{Re} Z_{\ell}(\omega) \sim \frac{Z_0}{(2\pi)^{3/2}} \frac{1}{a} \sqrt{\frac{gc}{\omega}}$$

## Diffraction impedance of pill-box cavity

More accurate calculations give a numerical factor  $\sqrt{2}$  and

$$Z_{\ell} = \frac{Z_0}{4\pi} \frac{2(1+i)}{\pi^{1/2} a} \sqrt{\frac{gc}{\omega}}$$
(6.19)

See movies of field lines in the wake fields.